

EXHIBIT 9

Alleged Spoofing Episodes Within An Hour Of Market Close On A "Pricing Date"

Date	Executing Purchase			Best Offer (Calculated)	Baiting Orders			Price Decline ¹	Prior Sale by Defendant ²		Next Sale by Defendant ²		Source
	Time	Volume	Price ³		Volume ⁴	Min. Price ⁵	Max. Price ⁵		Time	Price	Time	Price	
12/19/17	3:22:03 PM	7,292	0.2300	\$0.25	4,792	0.2300	0.2300	-2.13%	12/19 at 3:18:47 PM	\$0.24	12/20 at 11:06:17 AM	0.24	ECF 150-1 at 8.
8/1/18	3:43:00 PM	46,605	0.2110	\$0.22	90,000	0.2180	0.2180	-2.31%	8/1 at 10:02:27 AM	\$0.22	8/2 at 9:44:30 AM	\$0.22	ECF 150-1 at 60.
3/28/19	3:47:44 PM	5,755	0.2720	\$0.28	23,500	0.2750	0.2750	-1.81%	3/28 at 3:39:13 PM	\$0.28	3/29 at 10:35:30 AM	\$0.28	ECF 150-1 at 203.
10/1/20	3:57:56 PM	15,000	0.7250	\$0.75	14,000	0.7450	0.7450	-2.80%	9/30 at 3:46:13 PM	\$0.80	10/2 at 9:30:01 AM	\$0.73	ECF 150-1 at 172.
10/8/20	3:20:55 PM	9,500	0.8000	\$0.81	7,150	0.8099	0.8099	-2.79%	10/6 at 9:52:55 AM	0.84	10/9 at 9:31:01 AM	\$0.83	ECF 150-1 at 14.
10/12/20	3:05:00 PM	18,900	1.1100	\$1.12	13,360	1.1200	1.1500	-1.79%	10/12 at 3:03:30 PM	\$1.12	10/12 at 3:05:11 PM	\$1.12	ECF 150-1 at 15.
10/12/20	3:11:25 PM	5,445	1.1200	\$1.13	2,755	1.1000	1.1400	-4.42%	10/12 at 3:08:49 PM	\$1.13	10/13 at 9:32:12 AM	\$1.15	ECF 150-1 at 15.
10/12/20	3:13:46 PM	2,450	1.0600	\$1.08	31,455	1.0700	1.1000	-6.19%	10/12 at 3:08:49 PM	\$1.13	10/12 at 3:13:57 PM	1.07	ECF 150-1 at 15.
10/12/20	3:14:09 PM	300	1.0700	\$1.08	59,425	1.0800	1.1000	-6.19%	10/12 at 3:08:49 PM	\$1.13	10/12 at 3:15:55 PM	\$1.08	ECF 150-1 at 15.
10/12/20	3:16:39 PM	7,500	1.0600	\$1.07	59,484	1.0700	1.0800	-3.64%	10/12 at 3:15:55 PM	\$1.08	10/12 at 3:20:41 PM	\$1.08	ECF 150-1 at 15.
10/12/20	3:25:08 PM	17,710	1.0900	\$1.11	31,250	1.1000	1.1100	-4.00%	10/12 at 3:24:47 PM	\$1.10	10/12 at 3:25:26 PM	\$1.10	ECF 150-1 at 15.
10/12/20	3:50:17 PM	9,750	1.0700	\$1.08	6,123	1.0800	1.0800	-2.75%	10/12 at 3:48:26 PM	\$1.09	10/12 at 3:57:55 PM	\$1.08	ECF 150-1 at 15.
10/12/20	3:12:52 PM	230	1.1000	\$1.11	400	1.1300	1.1300	-6.19%	10/12 at 3:04:15 PM	\$1.11	10/12 at 3:58:22 PM	\$1.11	ECF 150-1 at 73.
10/12/20	3:15:53 PM	728	1.0800	\$1.10	5,178	1.0800	1.1000	-3.64%	10/12 at 3:15:21 PM	\$1.10	10/12 at 3:28:50 PM	\$1.10	ECF 150-1 at 204.
10/14/20	3:09:03 PM	250	1.0600	\$1.08	1,100	1.0700	1.0700	-0.93%	10/14 at 3:03:06 PM	\$1.08	10/14 at 3:09:36 PM	\$1.07	ECF 150-1 at 16.
10/27/20	3:07:40 PM	2,000	1.2400	\$1.27	3,900	1.2300	1.2500	-3.20%	10/27 at 3:06:06 PM	\$1.25	10/29 at 9:35:26 AM	\$1.26	ECF 150-1 at 85.
12/22/20	3:50:37 PM	8,925	1.3100	\$1.32	10,000	1.3500	1.3500	-0.38%	12/22 at 3:18:38 PM	\$1.32	12/22 at 3:54:39 PM	\$1.32	ECF 150-1 at 37.
1/26/21	3:31:58 PM	1,695	1.4900	\$1.50	8,995	1.5000	1.5000	-0.74%	1/26 at 3:26:37 PM	\$1.50	1/26 at 3:32:34 PM	\$1.50	ECF 150-1 at 41.
1/26/21	3:42:43 PM	5,500	1.5100	\$1.52	13,166	1.5100	1.5200	-3.27%	1/26 at 3:41:13 PM	\$1.52	1/26 at 3:43:45 PM	\$1.52	ECF 150-1 at 41.
1/26/21	3:47:35 PM	1,393	1.4800	\$1.51	4,594	1.4800	1.5300	-2.78%	1/26 at 3:41:58 PM	\$1.53	1/26 at 3:50:10 PM	\$1.50	ECF 150-1 at 208.
2/25/21	3:56:15 PM	1,053	1.4200	\$1.43	3,000	1.4200	1.4400	-2.78%	2/25 at 3:48:29 PM	\$1.45	2/25 at 3:56:53 PM	\$1.43	ECF 150-1 at 177.
2/25/21	3:58:35 PM	3,000	1.4000	\$1.41	9,000	1.4100	1.4300	-2.10%	2/25 at 3:57:00 PM	\$1.42	2/25 at 3:58:55 PM	\$1.42	ECF 150-1 at 177.
4/1/21	3:14:52 PM	3,000	1.3800	\$1.39	3,000	1.3900	1.3900	-1.44%	4/1 at 2:54:12 PM	\$1.40	4/1 at 3:22:10 PM	\$1.39	ECF 150-1 at 188.

31 Alleged Temporal Proximity Spoofing Episodes

Date	Executing Purchase			Best Offer (Calculated)	Baiting Orders			Price Decline ¹	Prior Sale by Defendant ²		Next Sale by Defendant ²		Source
	Time	Volume	Price ³		Volume ⁴	Min. Price ⁵	Max. Price ⁵		Time	Price	Time	Price	
4/1/21	3:22:04 PM	700	1.3700	\$1.39	3,000	1.3900	1.3900	-1.44%	4/1 at 3:20:02 PM	\$1.38	4/1 at 3:22:10 PM	\$1.39	ECF 150-1 at 188.
4/1/21	3:24:54 PM	3,000	1.3700	\$1.39	3,000	1.3900	1.3900	-1.44%	4/1 at 3:23:09 PM	\$1.39	4/1 at 3:30:53 PM	\$1.38	ECF 150-1 at 189.
4/1/21	3:28:37 PM	3,000	1.3800	\$1.39	3,000	1.3900	1.3900	-1.44%	4/1 at 3:23:09 PM	\$1.39	4/1 at 3:47:43 PM	\$1.41	ECF 150-1 at 189.
12/10/21	3:00:05 PM	4,915	0.6826	\$0.69	9,000	0.8050	0.8050	-0.55%	12/8 at 10:07:15 AM	\$0.76			ECF 150-1 at 6.
12/10/21	3:29:31 PM	1,600	0.6305	\$0.64	9,000	0.8050	0.8050	-2.34%	12/8 at 10:07:15 AM	\$0.76			ECF 150-1 at 6.
12/10/21	3:05:29 PM	10,000	0.6800	\$0.69	7,000	0.6899	0.6900	-3.91%	12/10 at 3:00:01 PM	\$0.69			ECF 150-1 at 53.
12/10/21	3:07:41 PM	240,500	0.6630	\$0.68	248000	0.6631	0.6631	-2.85%	12/10 at 3:00:01 PM	\$0.69			ECF 150-1 at 53.
12/10/21	3:52:49 PM	4,100	0.6008	\$0.61	2,500	0.6330	0.6330	-6.25%	12/10 at 3:51:59 PM	\$0.64			ECF 150-1 at 79.